



~~Docket No. ALG08NP~~

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vahala et al
Filed: 02/16/2001
App. No.: 09/788,300
For: Resonant optical filters

Art Unit: 2877

Examiner: Michael P. Mooney

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(c)

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

07/03/2003

Sir:

An Information Disclosure Statement is submitted herewith, listing U. S. Pat. No. 6,222,964 (photocopy also enclosed). Said patent was cited in an Office Action in a related application, and deemed potentially material in the present application. The conditions of 37 CFR §1.97(c) are met. Accordingly, the prescribed fee set forth in 37 CFR §1.17(p) is enclosed herewith.

Respectfully submitted,

WfSh-

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/788,300
Filing Date	02/16/2001
First Named Inventor	Vahala
Art Unit	2877
Examiner Name	Michael P. Mooney

Attorney Docket Number ALG08NP

U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Signature	Date Considered
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached. ⁷	

Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, Washington, DC 20231.**

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